

Change of Client Categorization Request Form

Client's name		
Account number		
Please select your requested categorization:	Retail Client	
	Professional Client	
	Eligible Counterparty	

If you have selected "Professional Client" above, please select two or more of the following qualification criteria which apply to you:

Elective Professional Client:	During the past year I carried out large transactions in average frequency of more than 10 per quarter (please provide a trading report or other supporting document)	
	The size of my financial instrument portfolio, defined as including cash deposits and financial instruments, exceeds EUR 500,000 (please provide a bank statement or other supporting document)	
	I work or have worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged. (please provide a certificate/license or other supporting document)	
	Please provide below the in-depth description of your professional experience (Company/Department/Period of employment/Job title or position) and how it gave you exposure to complex products like derivatives.	



I/we hereby confirm that I/we have read and understood the Client Categorization Policy and understand the implications of my/our requested categorization, including losing some of the protection afforded and compensation under the Investor Compensation Fund ("ICF") for Clients of Investment Firms if moving from a Retail to a Professional Client.

I/we formally request that to INVEZA CAPITAL LTD reclassify me/us to my/our preferred client categorization as above.

Date: _____

Signature: _____

For Corporate clients:

On behalf of (Company name): _____

Full name of authorized person: _____

Contact email address: _____

Contact telephone number: _____